

## FORM NL-12 &amp; 12A -INVESTMENT SCHEDULE

	Particulars	NL -12		NL -12A		(Amount in Rs. Lakhs)	
		Shareholders		Policyholders		Total	
		As at 31st March ,2022	As At 31st March ,2021	As at 31st March,2022	As At 31st March ,2021	As at 31st March,2022	As At 31st March ,2021
	<b>LONG TERM INVESTMENTS</b>						
1	Government securities and Government guaranteed bonds including Treasury Bills	31,189	6,702	1,22,186	1,05,151	1,53,375	1,11,852
2	Other Approved Securities	517	82	2,024	1,290	2,541	1,372
3	Other Investments	-	-	-	-	-	-
	(a) Shares	-	-	-	-	-	-
	(aa) Equity	-	-	-	-	-	-
	(bb) Preference	-	-	-	-	-	-
	(b) Mutual Funds	-	-	-	-	-	-
	(c) Derivative Instruments	-	-	-	-	-	-
	(d) Debentures/ Bonds	13,183	4,308	51,647	67,587	64,830	71,895
	(e) Other Securities (to be specified)	-	-	-	-	-	-
	(f) Subsidiaries	-	-	-	-	-	-
	(g) Investment Properties-Real Estate	-	-	-	-	-	-
4	Investments in Infrastructure and Housing	12,362	3,843	48,429	60,291	60,791	64,134
5	Other than Approved Investments Debentures/Bonds	-	12	-	188	-	200
6	Investments in Alternate Investment Fund	102	18	398	275	500	293
		<b>57,353</b>	<b>14,964</b>	<b>2,24,684</b>	<b>2,34,782</b>	<b>2,82,037</b>	<b>2,49,746</b>
	<b>SHORT TERM INVESTMENTS</b>						
1	Government securities and Government guaranteed bonds including Treasury Bills	2,150	1,745	8,424	27,381	10,575	29,126
2	Other Approved Securities	-	-	-	-	-	-
3	Other Investments	-	-	-	-	-	-
	(a) Shares	-	-	-	-	-	-
	(aa) Equity	954	176	3,736	2,762	4,690	2,938
	(bb) Preference	-	-	-	-	-	-
	(b) Mutual Funds	2,700	716	10,578	11,241	13,279	11,957
	(c) Derivative Instruments	-	-	-	-	-	-
	(d) Debentures/ Bonds	8,020	1,872	31,417	29,370	39,437	31,242
	(e) Other Securities (to be specified)	2,384	662	9,339	10,387	11,723	11,049
	(f) Subsidiaries	-	-	-	-	-	-
	(g) Investment Properties-Real Estate	-	-	-	-	-	-
4	Investments in Infrastructure and Housing	2,339	1,139	9,163	17,878	11,501	19,018
5	Other than Approved Investments	-	-	-	-	-	-
	(a) Shares : Equity	111	13	434	205	544	219
	(b) Mutual Fund	73	4	285	70	357	75
	(c) Debentures/ Bonds	-	30	-	470	-	500
	<b>TOTAL</b>	<b>18,730</b>	<b>6,359</b>	<b>73,376</b>	<b>99,765</b>	<b>92,106</b>	<b>1,06,124</b>
	<b>GRNAD TOTAL</b>	<b>76,084</b>	<b>21,322</b>	<b>2,98,060</b>	<b>3,34,547</b>	<b>3,74,144</b>	<b>3,55,870</b>

## Notes:

(a) Investments in subsidiary/holding companies, joint ventures and associates shall be separately disclosed, at cost.

- Holding company and subsidiary shall be construed as defined in the Companies Act, 1956 as amended by Company Act 2013:

- Joint Venture is a contractual arrangement whereby two or more parties undertake an economic activity, which is subject to joint control.

- Joint control - is the contractually agreed sharing of power to govern the financial and operating policies of an economic activity to obtain benefits from it.

- Associate - is an enterprise in which the company has significant influence and which is neither a subsidiary nor a joint venture of the company.

-Significant influence (for the purpose of this schedule) - means participation in the financial and operating policy decisions of a company, but not control of those policies. Significant influence may be exercised in several ways, for example, by representation on the board of directors, participation in the policymaking process, material inter-company transactions, interchange of managerial personnel or dependence on technical information. Significant influence may be gained by share ownership, statute or agreement. As regards share ownership, if an investor holds, directly or indirectly through subsidiaries, 20 percent or more of the voting power of the investee, it is presumed that the investor does have significant influence, unless it can be clearly demonstrated that this is not the case. Conversely, if the investor holds, directly or indirectly through subsidiaries, less than 20 percent of the voting power of the investee, it is presumed that the investor does not have significant influence, unless such influence is clearly demonstrated.

- A substantial or majority ownership by another investor does not necessarily preclude an investor from having significant influence.

(b) Aggregate amount of company's investments other than listed equity securities and derivative instruments and also the market value thereof shall be disclosed in the **Annexure A as specified below**.

(c) Investments made out of Catastrophe reserve should be shown separately.

(d) Debt securities will be considered as "held to maturity" securities and will be measured at historical cost subject to amortisation.

(e) Investment Property means a property [land or building or part of a building or both] held to earn rental income or for capital appreciation or for both, rather than for use in services or for administrative purposes.

(f) Investments maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve months from balance sheet date shall be classified as short-term investments

(g) Investment Regulations, as amended from time to time, to be referred

**A) Aggregate value of Investments other than Listed Equity Securities and Derivative Instruments**

Particulars	Shareholders		Policyholders		(Amount in Rs. Lakhs)	
	Total		Total		Total	
	As at 31st March ,2022	As At 31st March ,2021	As at 31st March ,2022	As At 31st March ,2021	As at 31st March ,2022	As At 31st March ,2021
<b>Long Term Investments--</b>						
<b>Book Value</b>	57,252	14,946	2,24,286	2,34,507	2,81,538	2,49,453
<b>market Value</b>	57,267	15,357	2,24,347	2,40,953	2,81,614	2,56,310
<b>Short Term Investments--</b>						
<b>Book Value</b>	14,893	5,419	58,343	85,016	73,236	90,435
<b>market Value</b>	14,992	5,462	58,730	85,695	73,721	91,156